



IAP7 Rec'd PCT/PTO 23 AUG 2006

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **TAKAHATA, Yuki, et al.**

Attention: **Applications Division**

Serial Number: **10/553,624**

Group Art Unit: **2617**

Filed: **October 19, 2005**

P.T.O. Confirmation No.: **6059**

For: **FLAT PANEL SPEAKER UNIT AND ELECTRONIC DEVICE COMPRISING  
THE UNIT**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: August 23, 2006

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the **second foreign application information is incorrect and should read as - JAPAN 2003-172234 06/17/2003-**  
-. A copy of the **Declaration** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

  
William L. Brooks

Attorney for Applicants

Reg. No. 34,129

WLB/bjb

Atty. Docket No. **050688**

Suite 1000

1725 K Street, N.W.

Washington, D.C. 20006

(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration



UNITED STATES PATENT AND TRADEMARK OFFICE

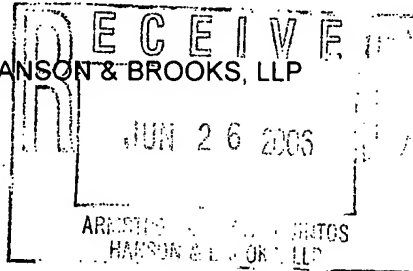


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 United States Patent and Trademark Office  
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 P.O. Box 1450  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,624	10/19/2005	2617	900	050688	8	11	2

23850

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006



CONFIRMATION NO. 6059

FILING RECEIPT



\*OC000000019225757\*

Date Mailed: 06/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yuki Takahata, Osaka, JAPAN;  
 Minoru Kumita, Osaka, JAPAN;  
 Takashi Marugami, Osaka, JAPAN;

**Assignment For Published Patent Application**

Sanyo Electric Co., Ltd., Moriguchi-shi, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 23850.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/05825 04/22/2004

**Foreign Applications**

JAPAN 2003-122640 04/25/2003  
 JAPAN 2003-172234 06/16/2003  
 JAPAN 2003-309648 09/02/2003  
 JAPAN 2003-358620 10/20/2003

JAPAN 2003-172234 6/17/2003--

**Projected Publication Date:** 09/21/2006**Non-Publication Request:** No**Early Publication Request:** No

6/29/06

**Title**

Flat type speaker unit, and electronic appliance having this unit

**Preliminary Class**

455

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

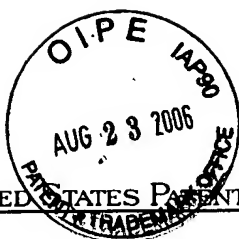
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,624	Yuki Takahata	050688

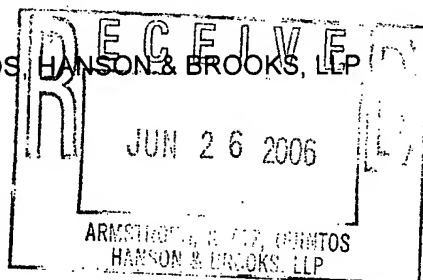
INTERNATIONAL APPLICATION NO.
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PCT/JP04/05825

I.A. FILING DATE	PRIORITY DATE
04/22/2004	04/25/2003

23850

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006



CONFIRMATION NO. 6059

371 ACCEPTANCE LETTER



\*OC000000019225758\*

Date Mailed: 06/15/2006

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>10/19/2005</u>	<u>10/19/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 10/19/2005
- Copy of the International Search Report filed on 10/19/2005
- Preliminary Amendments filed on 10/19/2005
- Information Disclosure Statements filed on 10/19/2005
- Oath or Declaration filed on 10/19/2005
- Request for Immediate Examination filed on 10/19/2005
- U.S. Basic National Fees filed on 10/19/2005
- Assignment filed on 10/19/2005
- Priority Documents filed on 10/19/2005
- Non-English Language Application filed on 10/19/2005
- Specification filed on 10/19/2005

6/29/06

- Claims filed on 10/19/2005
- Abstracts filed on 10/19/2005
- Drawings filed on 10/19/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/S03 (371 Acceptance Notice)

# DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FLAT PANEL SPEAKER UNIT AND ELECTRONIC DEVICE COMPRISING  
THE UNIT

the specification of which is attached hereto unless the following is checked

☒ was filed on **APRIL 22, 2004** as United States Application Number or PCT International

Application Number **PCT/JP2004/005825** and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) – (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

## Priority Claimed

(List prior foreign applications. See note A)

<u>2003-122640</u> (Number)	<u>Japan</u> (Country)	<u>25/4/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-172234</u> (Number)	<u>Japan</u> (Country)	<u>17/6/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-309648</u> (Number)	<u>Japan</u> (Country)	<u>2/9/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<u>2003-358620</u> (Number)	<u>Japan</u> (Country)	<u>20/10/2003</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

(See note B )

☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

## Status

(List prior U.S. Applications)

<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
<u>                    </u> (Application Serial No.)	<u>                    </u> (Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned